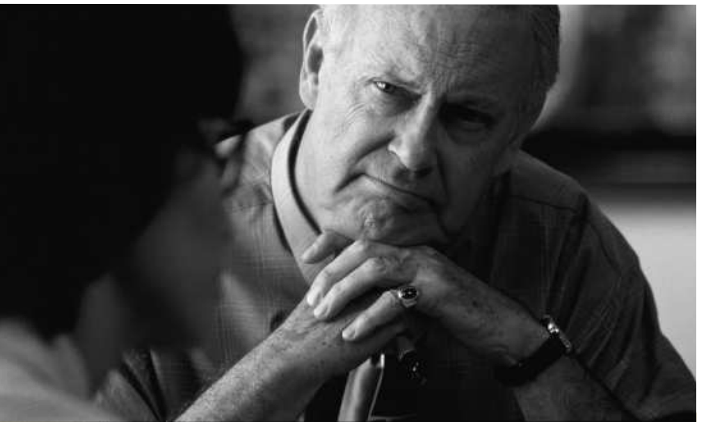


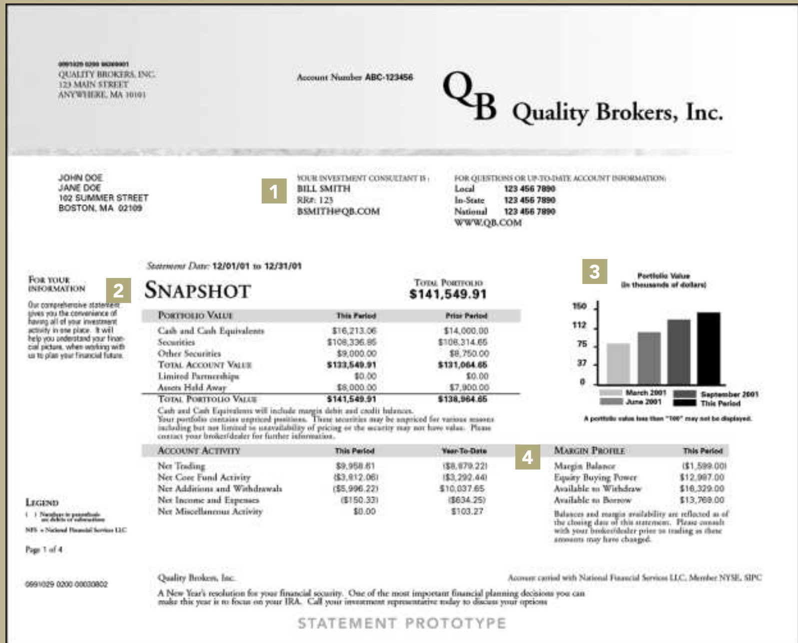
National Financial Services LLC, Member NYSE, SIPC
437054 1.755131.103

HOW TO READ YOUR STATEMENT

COMPREHENSIVE
INVESTMENT REPORTING

Your statement provides details on your account activity and positions for the current period. The statement is designed to help you make informed decisions about your account, while helping you effectively manage your investments and plan your financial future. Some of the information provided in your statement includes:

- Positions in your account grouped by investment type
- Current-period transaction activity
- Detailed information about your investments
- Cash management activity, including checking and debit-card transactions, when applicable



Statements are comprised of three sections: Snapshot, Summary, and Detail. Each section provides different views of your account's portfolio value and activity, as described below.

1 GENERAL ACCOUNT INFORMATION

Easily find your account number for placing orders or making inquiries. Contact information for your investment representative, including address and phone number(s), is displayed.

2 SNAPSHOT

Provides an overview of important account information, including current and prior-period portfolio value and current and year-to-date net account activity.

3 QUARTERLY PERFORMANCE BAR GRAPH

An easy-to-use tool that visually displays current portfolio value compared to historical account value by quarter.

4 MARGIN PROFILE

Displays margin account information including margin balance, equity buying power, funds available to withdraw, and funds available to borrow.

5 SUMMARY

This section gives you summary-level information about your account. The components include Portfolio Value and Account Activity.

6 PORTFOLIO ALLOCATION CHART

Shows an at-a-glance view of portfolio asset allocation classes and percentages.

7 DETAIL

This section gives you the greatest level of detail available about your account. See specific positions grouped by investment type and account activity at the transaction level.

8 PORTFOLIO VALUE

A detailed view of your portfolio positions as of the end of the statement period. This includes cash, equities, options, fixed income, mutual funds, and other securities.

9 ACCOUNT ACTIVITY

A recap of transactions that occurred in your account for the statement period. See details on trading, additions and withdrawals, income and expenses, and miscellaneous activity.

10 ALERTS

Notification of specific events occurring in your account that may require further action.

Your statement is customized to include information relevant to your account — so it may not include all the sections described here. Additionally, there may be other sections included in your statement that are not described here. Contact your investment professional if you have any questions.